State of Louisiana
Gaming Control Board

BOBBY JINDAL
GOVERNOR

RONNIE JONES
CHAIRMAN

The Honorable Jean-Paul J. Morrell
Chairman
Senate Committee on Judiciary B
P.O. Box 94183
Baton Rouge, LA 70804

RE: Notice of Intent – LAC 42:III.120

Dear Sir:

Pursuant to the provisions of La. R.S. 49:968(B), the Louisiana Gaming Control Board submits the following:

1. Copies of the approved Fiscal and Economic Impact Statement.
2. Copies of the Family Impact Statement.
3. Copies of the Poverty Impact Statement.
4. Copies of the Provider Impact Statement.
5. Copies of the Small Business Impact Statement.
6. Copies of the Notice of Intent.
7. Copies of the current rule.
8. Copies of the final proposed rule.

Sincerely,

Ronnie Jones, Chairman
Louisiana Gaming Control Board

01-05-16
Date

RJ/EGP/jb

7901 Independence Boulevard, Building A, Baton Rouge, LA 70806
Phone: (225) 925-1846 Fax: (225) 925-1917

FISCAL AND ECONOMIC IMPACT STATEMENT
FOR ADMINISTRATIVE RULES

Person Preparing Statement:	Matthew H. Long	Dept.:	Public Safety and Corrections
Phone:	(225) 326-6500	Office:	Gaming Control Board
Return Address:	P.O. Box 94005	Rule Title:	LAC 42:III.120
	Baton Rouge, LA 70804-9005		
		Date Rule Takes Effect:	Upon promulgation

SUMMARY
(Use complete sentences)

In accordance with Section 953 of Title 49 of the Louisiana Revised Statutes, there is hereby submitted a fiscal and economic impact statement on the rule proposed for adoption, repeal or amendment. THE FOLLOWING STATEMENTS SUMMARIZE ATTACHED WORKSHEETS, I THROUGH IV AND WILL BE PUBLISHED IN THE LOUISIANA REGISTER WITH THE PROPOSED AGENCY RULE.

I. ESTIMATED IMPLEMENTATION COSTS (SAVINGS) TO STATE OR LOCAL GOVERNMENTAL UNITS (Summary)

The proposed rule change will result in a marginal increase in expenditures for the Gaming Control Board. This proposed rule change adds three new forms: the Application Withdrawal/License Surrender Form, the Surrender Waiver Request Form and the Labor Organization Statement. The Gaming Control Board will likely incur a marginal increase in costs related to generating and publishing these new forms.

In addition, the proposed rule change also renumbers the existing Multiple Use Reporting Form.

II. ESTIMATED EFFECT ON REVENUE COLLECTIONS OF STATE OR LOCAL GOVERNMENTAL UNITS (Summary)

The proposed rule change will have no effect on revenue collections of state or local governmental units.

III. ESTIMATED COSTS AND/OR ECONOMIC BENEFITS TO DIRECTLY AFFECTED PERSONS OR NON-GOVERNMENTAL GROUPS (Summary)

The proposed rule change will have no effect on costs to directly affected persons or non-governmental units.

IV. ESTIMATED EFFECT ON COMPETITION AND EMPLOYMENT (Summary)

The proposed rule change will have no effect on competition and employment.

Signature of Agency Head or Designee	Evan Brasseaux, Staff Director Legislative Fiscal Officer or Designee
Ronnie Jones, Chairman Typed Name & Title of Agency Head or Designee	
1-7-16 Date of Signature	1/8/16 Date of Signature

FISCAL AND ECONOMIC IMPACT STATEMENT
FOR ADMINISTRATIVE RULES

The following information is required in order to assist the Legislative Fiscal Office in its review of the fiscal and economic impact statement and to assist the appropriate legislative oversight subcommittee in its deliberation on the proposed rule.

A. Provide a brief summary of the content of the rule (if proposed for adoption, or repeal) or a brief summary of the change in the rule (if proposed for amendment). Attach a copy of the notice of intent and a copy of the rule proposed for initial adoption or repeal (or, in the case of a rule change, copies of both the current and proposed rules with amended portions indicated).

The proposed rule change will result in a marginal increase in expenditures for the Gaming Control Board. This proposed rule change adds three new forms: the Application Withdrawal/License Surrender Form, the Surrender Waiver Request Form, and the Labor Organization Statement. The Gaming Control Board will likely incur a marginal increase in costs related to generating and publishing these new forms.

In addition, the proposed rule change also renumbers the existing Multiple Use Reporting Form.

B. Summarize the circumstances, which require this action. If the Action is required by federal regulation, attach a copy of the applicable regulation.

The amendment of the proposed rule results from the amendment and clarification of LAC 42:XI.2405(B)(10) & (11) and out of a necessity to provide clearer forms for licensees and Louisiana State Police, Gaming Enforcement Division.

C. Compliance with Act 11 of the 1986 First Extraordinary Session

(1) Will the proposed rule change result in any increase in the expenditure of funds? If so, specify amount and source of funding.

No increase in expenditure of funds is anticipated by this rule change.

(2) If the answer to (1) above is yes, has the Legislature specifically appropriated the funds necessary for the associated expenditure increase?

(a) _____ Yes. If yes, attach documentation.

(b) _____ NO. If no, provide justification as to why this rule change should be published at this time

FISCAL AND ECONOMIC IMPACT STATEMENT

WORKSHEET

I. A. COSTS OR SAVINGS TO STATE AGENCIES RESULTING FROM THE ACTION PROPOSED

1. What is the anticipated increase (decrease) in costs to implement the proposed action?

COSTS	FY 15-16	FY 16-17	FY 17-18
Personal Services			
Operating Expenses			
Professional Services			
Other Charges			
Equipment			
Major Repairs & Constr.			
TOTAL	**$0**	**$0**	**$0**
POSITIONS (#)	**0**	**0**	**0**

2. Provide a narrative explanation of the costs or savings shown in "A. 1.", including the increase or reduction in workload or additional paperwork (number of new forms, additional documentation, etc.) anticipated as a result of the implementation of the proposed action. Describe all data, assumptions, and methods used in calculating these costs.

There is no anticipated direct material effect on state governmental expenditures as a result of the proposed rule change.

3. Sources of funding for implementing the proposed rule or rule change.

SOURCE	FY 15-16	FY 16-17	FY 17-18
State General Fund			
Agency Self-Generated			
Dedicated			
Federal Funds			
Other (Specify)			
TOTAL	$0	$0	$0

4. Does your agency currently have sufficient funds to implement the proposed action? If not, how and when do you anticipate obtaining such funds?

Sufficient funds are available to implement the proposed rule changes.

B. COST OR SAVINGS TO LOCAL GOVERNMENTAL UNITS RESULTING FROM THE ACTION PROPOSED.

1. Provide an estimate of the anticipated impact of the proposed action on local governmental units, including adjustments in workload and paperwork requirements. Describe all data, assumptions and methods used in calculating this impact.

The proposed rule change will have no effect on revenue collections of local governmental units.

2. Indicate the sources of funding of the local governmental unit, which will be affected by these costs or savings.

No sources of funding for local government will be affected.

FISCAL AND ECONOMIC IMPACT STATEMENT

WORKSHEET

II. EFFECT ON REVENUE COLLECTIONS OF STATE AND LOCAL GOVERNMENTAL UNITS

A. What increase (decrease) in revenues can be anticipated from the proposed action?

REVENUE INCREASE/DECREASE	FY 15-16	FY 16-17	FY 17-18
State General Fund			
Agency Self-Generated			
Dedicated Funds*			
Federal Funds			
Local Funds			
TOTAL	**$0**	**$0**	**$0**

*Specify the particular fund being impacted.

B. Provide a narrative explanation of each increase or decrease in revenues shown in "A." Describe all data, assumptions, and methods used in calculating these increases or decreases.

The proposed rule change will have no effect on revenue collection of state or local governmental units.

FISCAL AND ECONOMIC IMPACT STATEMENT

WORKSHEET

III. COSTS AND/OR ECONOMIC BENEFITS TO DIRECTLY AFFECTED PERSONS OR NONGOVERNMENTAL GROUPS

A. What persons or non-governmental groups would be directly affected by the proposed action? For each, provide an estimate and a narrative description of any effect on costs, including workload adjustments and additional paperwork (number of new forms, additional documentation, etc.), they may have to incur as a result of the proposed action.

The proposed rule change will have no effect on costs to directly affected persons or non-governmental units.

B. Also provide an estimate and a narrative description of any impact on receipts and/or income resulting from this rule or rule change to these groups.

It is not anticipated that the proposed rule change will impact either receipts or income.

IV. EFFECTS ON COMPETITION AND EMPLOYMENT

Identify and provide estimates of the impact of the proposed action on competition and employment in the public and private sectors. Include a summary of any data, assumptions and methods used in making these estimates.

The proposed rule change will have no effect on competition and employment.

State of Louisiana
Gaming Control Board

BOBBY JINDAL
GOVERNOR

RONNIE JONES
CHAIRMAN

FAMILY IMPACT STATEMENT

Pursuant to the provisions of La. R.S. 49:953(A) and 972, the Louisiana Gaming Control Board, through its chairman, has considered the potential family impact of amending LAC 42:III.120.

It is accordingly concluded that amending LAC 42:III.120 would appear to have no impact on the following:

1. The effect on stability of the family.

2. The effect on the authority and rights of parents regarding the education and supervision of their children.

3. The effect on the functioning of the family.

4. The effect on family earnings and family budget.

5. The effect on the behavior and personal responsibility of children.

6. The ability of the family or a local government to perform the function as contained in the proposed rule.

Ronnie Jones, Chairman

12/16/15
Date

RJ/MHL/jb

7901 Independence Boulevard, Building A, Baton Rouge, LA 70806
Phone: (225) 925-1846 Fax: (225) 925-1917

State of Louisiana
Gaming Control Board

BOBBY JINDAL
GOVERNOR

RONNIE JONES
CHAIRMAN

POVERTY IMPACT STATEMENT

Pursuant to the provisions of La. R.S. 49:953(A) and 973, the Louisiana Gaming Control Board, through its chairman, has considered the potential poverty impact of amending LAC 42:III.120.

It is accordingly concluded that amending LAC 42:III.120 would appear to have no impact on the following:

1. The effect on household income, assets, and financial security.

2. The effect on early childhood development and preschool through postsecondary education development.

3. The effect on employment and workforce development.

4. The effect on taxes and tax credits.

5. The effect on child and dependent care, housing, health care, nutrition, transportation, and utilities assistance.

Ronnie Jones, Chairman

12/16/15

Date

RJ/MHL/jb

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Phone: (225) 925-1846 Fax: (225) 925-1917

State of Louisiana
Gaming Control Board

BOBBY JINDAL
GOVERNOR

RONNIE JONES
CHAIRMAN

PROVIDER IMPACT STATEMENT

Pursuant to the provisions of La. R.S. 49:953(A), the Louisiana Gaming Control Board, through its chairman, has considered the potential provider impact of amending LAC 42:III.120.

It is accordingly concluded that amending LAC 42:III.120 would appear to have no impact on the following:

1. The effect on the staffing level requirements or qualifications required to provide the same level of service.

2. The total direct and indirect effect on the costs to the provider to provide the same level of service.

3. The overall effect on the ability of the provider to provide the same level of service.

Ronnie Jones, Chairman

12/16/15
Date

RJ/MHL/jb

7901 Independence Boulevard, Building A, Baton Rouge, LA 70806
Phone: (225) 925-1846 Fax: (225) 925-1917

State of Louisiana
Gaming Control Board

BOBBY JINDAL
GOVERNOR

RONNIE JONES
CHAIRMAN

SMALL BUSINESS IMPACT STATEMENT

Pursuant to the provisions of La. R.S. 49:965.5 the Louisiana Gaming Control Board, through its chairman, has concluded that there will be **no** adverse impact on small businesses if LAC 42:III.120 is amended, as the changes will not apply to small businesses.

Ronnie Jones, Chairman

12/16/15
Date

RJ/MHL/jb

7901 Independence Boulevard, Building A, Baton Rouge, LA 70806
Phone: (225) 925-1846 Fax: (225) 925-1917

NOTICE OF INTENT

Department of Public Safety and Corrections
Louisiana Gaming Control Board

The Department of Public Safety and Corrections, Louisiana Gaming Control Board, in accordance with R.S. 27:15 and 24 and with the Administrative Procedure Act, R.S. 49:950 *et seq.*, hereby gives notice that it intends to amend LAC 42:III.120, *Application and Reporting Forms.*

Title 42
LOUISIANA GAMING
Part III. Gaming Control Board

Chapter 1. General Provisions
§120. Application and Reporting Forms

A. – A.3.i. …
j. Multiple Use Reporting Form, ~~VGD 071498~~ DPSSP 6600
A.3.k. – A.3.u. …
v. Video Draw Poker Associated Business Entity Form, DPSSP 6504
w. Application Withdrawal/License Surrender Form, LGCBVP 0100
x. Surrender Waiver Request Form, LGCBVP 0101
A.4. – A.6.a. …
b. Labor Organization Registration Statement, LGCBGEN 0100
B. – C. …

AUTHORITY NOTE: Promulgated in accordance with R.S. 27:15 and 24.
HISTORICAL NOTE: Promulgated by the Department of Public Safety and Corrections, Gaming Control Board, LR 26:340 (February 2000), amended LR 40:1379 (July 2014), LR 41:2176 (October 2015), LR

Public Comments

Interested persons may submit written comments to Matthew H. Long, Assistant Attorney General, Louisiana Department of Justice, Gaming Division, 1885 North Third Street, Fifth Floor, Baton Rouge, LA 70802. All comments must be submitted by 4:30 p.m. on February 10, 2015.

Ronnie Jones
Chairman

a. the licensee or permittee has no administrative or enforcement actions pending relative to the respective license or permit;

b. there are no pending or ongoing investigations of possible violations by the licensee or permittee;

c. the licensee or permittee has filed a complete application and provided any and all information required to be furnished by statute, rule or regulation or which has been requested to be provided by the board or the respective division;

3. any administrative or enforcement action, other than assessment of a civil penalty, instituted against a licensee or permittee shall apply to and be given reciprocal effect to all licenses, permits or other authorizations to conduct business held by such licensee or permittee.

AUTHORITY NOTE: Promulgated in accordance with R.S. 27:15 and 24.

HISTORICAL NOTE: Promulgated by the Department of Public Safety and Corrections, Gaming Control Board, LR 26:339 (February 2000).

§120. Application and Reporting Forms

A. All applicants, licensees, permittees, and persons required to be found suitable shall utilize and complete, as applicable, the most recent version of the following forms.

1. Riverboat

a. Level I, Part A, Suitability Gaming Application, DPSSP 6616, including, but not limited to:

i. Instructions;

ii. Application for Gaming License or Suitability Approval Application, Business Entity Form;

iii. Applicant Information;

iv. Ownership Interest;

v. General Information;

vi. Records/Books Information;

vii. Professional Services Information;

viii. Gaming Information (Miscellaneous);

ix. General Applicant Information;

x. Financial Disclosure Information;

xi. Affidavit of Full Disclosure;

xii. Applicant's Request to Release Information;

xiii. Verification;

xiv. Release of All Claims;

xv. Business Tax Information Authorization Request;

xvi. Federal Business, Trusts, Estates, etc., Consent to Disclosure of Tax Information;

xvii. Federal Internal Revenue Service Gaming Tax Clearance Certificate;

xviii. State Business, Trusts, Estates, etc., Consent to Disclosure of Tax Information;

xix. Louisiana Department of Revenue and Taxation Tax Clearance Certificate.

b. Level I, Part B, Personal History and Financial Record Suitability Gaming Application, DPSSP 6617, including, but not limited to:

i. Instructions;

ii. Personal History and Financial Record Suitability Gaming Application;

iii. Personal Information;

iv. Criminal History Information;

v. Civil Litigation Information;

vi. Military Service Data Information;

vii. Employment History Information;

viii. Professional Licenses, etc., Information;

ix. Business Associations Information;

x. Financial Information;

xi. General Information;

xii. Supplemental Page Information;

xiii. Verification;

xiv. Affidavit of Full Disclosure;

xv. Individual's Request to Release Information;

xvi. Release of All Claims;

xvii. Individual Tax Information Authorization Request;

xviii. Louisiana Department of Revenue and Taxation Tax Clearance Certificate;

xix. State Individual Consent to Disclosure of Tax Information;

xx. Federal Internal Revenue Service Tax Clearance Certificate;

xxi. Federal Individual Consent to Disclosure of Tax Information.

c. Level I, Parts A and B, Renewal Riverboat Gaming Application, DPSSP 6618 and 6619, including, but not limited to:

i. Part A—Instructions;

ii. Additional Application Information Required;

iii. Part B—Instructions;

iv. Definitions.

d. Level I, Renewal Suitability Gaming Application, Part A, DPSSP 6618, including, but not limited to:

i. Applicant Information;

ii. General Information;

iii. Records/Books Information;

iv. Professional Services Information;

v. Gaming Interest Information;

vi. General Information;

vii. Financial Disclosure Information;

viii. Affidavit of Full Disclosure;

ix. Applicant's Request to Release Information;

x. Verification;

xi. Release of All Claims;

xii. Business Tax Information Authorization Request;

xiii. Federal Business, Trusts, Estates, etc., Consent to Disclosure of Tax Information;

xiv. Federal Internal Revenue Service Tax Clearance Certificate;

xv. State Department of Revenue And Taxation Consent to Disclosure of Tax Information;

xvi. Louisiana Department of Revenue and Taxation Tax Clearance Certificate.

e. Level I, Renewal Suitability Gaming Application, Part B, Personal History and Financial Record, DPSSP 6619, including, but not limited to:

i. Personal Information;

ii. Criminal History Information;

iii. Civil Litigation Information;

iv. Employment History;

v. Professional Licenses, etc., Information;

vi. Business Associations Information;

vii. Financial Information;

viii. General Information;

ix. Supplemental Page Information;

x. Verification;

xi. Affidavit of Full Disclosure;

xii. Individual's Request to Release Information;

xiii. Release of All Claims;

xiv. Individual Tax Information Authorization Request;

xv. Louisiana Department of Revenue and Taxation Tax Clearance Certificate;

xvi. State Individual Consent to Disclosure of Tax Information;

xvii. Federal Internal Revenue Service Tax Clearance Certificate;

xviii. Federal Individual Consent to Disclosure of Tax Information.

f. Casino Gaming Key Employee Permit Application, Instructions and Application, DPSSP 0074, including, but not limited to:

i. Application for Permit;

ii. Personal History and Financial Record;

iii. Personal Financial Questionnaire;

iv. Verification;

v. Affidavit of Full Disclosure;

vi. Release of All Claims;

vii. Individual Tax Information Authorization Request;

viii. Louisiana Department of Revenue and Taxation Tax Clearance Certificate;

ix. State Individual Consent to Disclosure of Tax Information;

x. Federal Internal Revenue Service Tax Clearance Certificate;

xi. Federal Individual Consent to Disclosure of Tax Information.

g. Key Riverboat Gaming Employee Renewal Application, DPSSP 0084, including, but not limited to:

i. Instruction Sheet;

ii. Application for Permit;

iii. Affidavit of Full Disclosure;

iv. Release of All Claims.

h. Riverboat and Landbased Casino Non-Key Gaming Employee Permit Application, DPSSP 0075, including but not limited to:

i. Permit Application;

ii. Gaming Employee Applicant Conditional Approval Agreement (Non-Key Gaming);

iii. Request to Release Information and Release of Claims by Company/Corporation/Individual.

i. Non-Key Riverboat Gaming Employee Renewal Application, DPSSP 0065, including, but not limited to:

i. Instruction Sheet;

ii. Application for Permit;

iii. Employee Gaming Permit Renewal Affidavit;

iv. Release of All Claims.

j. Supplier of Significant Services (Marine Operations) Permit Application Individual Form Instructions and Application, DPSSP 0089:

i. Instructions;

ii. Personal Information;

iii. Criminal History Information;

iv. Military Service Data Information;

v. Civil Litigation Information;

vi. Employment History Information;

vii. Professional Licenses, etc., Information;

viii. Business Associations Information;

ix. Personal Financial Questionnaire;

x. Supplemental Page Information;

xi. Verification;

xii. Affidavit of Full Disclosure;

xiii. Individual's Request to Release Information;

xiv. Release of All Claims;

xv. Individual Tax Information Authorization Request;

xvi. Louisiana Department of Revenue and Taxation Tax Clearance Certificate;

xvii. State Individual Consent to Disclosure of Tax Information;

xviii. Federal Internal Revenue Service Tax Clearance Certificate;

xix. Federal Individual Consent to Disclosure of Tax Information.

k. Individual Marine Operation Permit Renewal Application, DSSP 0091, including, but not limited to:

i. Instruction Sheet;

ii. Application for Permit;

iii. Affidavit of Full Disclosure;

iv. Release of All Claims.

l. Casino Gaming Non-Gaming Supplier Permit Application, DPSSP 0076, including, but not limited to:

i. Application for Permit;

ii. Verification;

iii. Non-Gaming Application Request to Release Information and Release of Claims Company/Corporation/ Individual;

iv. Business Tax Information Authorization Request;

v. Tax Clearance Request.

m. Level II, Casino Gaming Permit Application Manufacturers and Suppliers, Part A, DPSSP 0073, including, but not limited to:

i. Instruction Page;

ii. Schedule of Fees;

iii. Application for Permit;

iv. Statement of Assets;

v. Statement of Liabilities;

vi. Verification;

vii. Affidavit of Full Disclosure;

viii. Release of All Claims;

ix. Individual Tax Information Authorization Request;

x. Applicant's Request to Release Information.

n. Level II, Casino Gaming Permit Application, Personal History and Financial Record, Part B, DPSSP 0077, including, but not limited to:

i. Personal Information;

ii. Personal Financial Questionnaire;

iii. Statement of Assets;

iv. Statement of Liabilities;

v. Verification;

vi. Affidavit of Full Disclosure;

vii. Individuals Request to Release Information;

viii. Release of All Claims;

ix. Individual Tax Information Authorization Request.

o. Gaming Permit/License Application for Manufacturers, Suppliers/Distributors and Service Entities, DPSSP 6613, including, but not limited to:

i. Instructions;

ii. Schedule of Fees;

iii. Application for Permit;

iv. Vendor Reciprocity Affidavit.

p. Non-Gaming Supplier Permit Application for Suppliers of Non-Gaming Goods/Services, DPSSP 6614, (In Accordance with Reciprocity Provisions of R.S. 27.91.E), including, but not limited to:

i. Instructions;

ii. Application for Permit;

iii. Vendor Reciprocity Affidavit;

iv. Request to Release Information and Release of Claims by Company/Corporation/Individual;

v. Tax Clearance Request;

vi. Business, Trusts, Estates, etc., Consent to Disclosure of Tax Information;

vii. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance Certificate (State);

viii. Internal Revenue Service Land Based Casino and Riverboat Gaming Tax Clearance Certificate (Federal).

q. Supplier of Significant Services (Marine Operations) Permit Application, DPSSP 0088, including, but not limited to:

i. Application for Permit;

ii. Business Financial Questionnaire;

iii. Statement of Assets;

iv. Statement of Liabilities;

v. Verification;

vi. Affidavit of Full Disclosure;

vii. Applicants Request to Release Information;

viii. Release of All Claims;

ix. Applicants Tax Information Authorization Request.

r. Non-Gaming Supplier Renewal Application, DPSSP 0090, including, but not limited to:

i. Application for Permit;

ii. Affidavit Form;

iii. Request to Release Information and Release of Claims by Company/Corporation/Individual;

iv. Business Tax Information Authorization Request;

v. Tax Clearance Request.

s. Manufacturer/Supplier Renewal Application, DPSSP 0064, including, but not limited to:

i. Application for Permit;

ii. Affidavit Form;

iii. Request to Release Information and Release of Claims by Company/Corporation/Individual;

iv. Business Tax Information Authorization Request;

v. Tax Clearance Request.

t. Fingerprint Cards

2. Land Based Casino

a. Casino Gaming Key Employee Permit Application Instructions and Application, DPSSP 0074, including, but not limited to:

i. Personal History and Financial Record;

ii. Personal Financial Questionnaire;

iii. Statement of Assets;

iv. Statement of Liabilities;

v. Verification;

vi. Affidavit of Full Disclosure;

vii. Individual's Request to Release Information;

viii. Release of All Claims;

ix. Individual Tax Information Authorization Request;

x. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance Certificate (State);

xi. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance Certificate (Federal);

xii. Businesses, Trusts, Estates, etc., Consent to Disclosure of Tax Information.

b. Gaming Key Employee Permit Renewal Application Packet, including, but not limited to:

i. Instructions;

ii. Gaming Key Employee Permit Renewal Application;

iii. Gaming Permit Affidavit;

iv. Request to Release Information and Release of Claims by Company/Corporation/Individual.

c. Riverboat and Landbased Casino Non-Key Gaming Employee Permit Application, DPSSP 0075, including, but not limited to:

i. Permit Application;

ii. Gaming Employee Applicant Conditional Approval Agreement (Non-Key Gaming);

iii. Request to Release Information and Release of Claims by Company/Corporation/Individual.

d. Gaming Non-Key Employee Permit Renewal Application, including, but not limited to:

i. Instructions;

ii. Gaming Non-Key Employee Permit Renewal Application;

iii. Request to Release Information and Release of Claims by Company/Corporation/Individual.

e. Gaming Permit/License Application for Manufacturers, Suppliers/Distributors and Service Entities (in Accordance with Reciprocity Provisions), DPSSP 6613, including, but not limited to:

i. Application for Permit;

ii. Vendor Reciprocity Affidavit.

f. Level II, Casino Gaming Permit Application Manufacturers and Suppliers, Part A, DPSSP 0073, including, but not limited to:

i. Schedule of Fees;

ii. Application for Permit;

iii. Statement of Assets;

iv. Statement of Liabilities;

v. Verification;

vi. Affidavit of Full Disclosure;

vii. Release of All Claims;

viii. Individual Tax Information Authorization Request;

ix. Applicant's Request to Release Information;

x. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance Certificate (State);

xi. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance Certificate (Federal);

xii. Business, Trusts, Estates, etc., Consent to Disclosure of Tax Information.

g. Manufacturers and Suppliers Gaming Permit Renewal Application, including, but not limited to:

i. Instructions;

ii. Renewal Application;

iii. Gaming Permit Affidavit;

iv. Request to Release Information and Release of Claims by Company/Corporation/Individual;

v. Individual Tax Information Authorization Request;

vi. Business Tax Information Authorization Request;

vii. Tax Clearance Request.

h. Non-Gaming Supplier Permit Application for Suppliers of Non-Gaming Goods/Services (in Accordance with Reciprocity Provisions), DPSSP 6614, including, but not limited to:

i. Application for Permit;

ii. Vendor Reciprocity Affidavit;

iii. Request to Release Information and Release of Claims by Company/Corporation/Individual;

iv. Tax Clearance Request;

v. Business, Trusts, Estates, etc., Consent to Disclosure of Tax Information;

vi. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance (State);

vii. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance (Federal).

i. Casino Gaming Non-Gaming Supplier Permit Application, DPSSP 0076, including, but not limited to:

i. Application for Permit;

ii. Verification;

iii. Non-Gaming Application Request to Release Information and Release of Claims Company/ Corporation/Individual;

iv. Business Tax Information Authorization Request;

v. Tax Clearance Request;

vi. Business, Trusts, Estates, etc., Consent to Disclosure of Tax Information;

vii. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance Certificate (State);

viii. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance Certificate (Federal).

j. Non-Gaming Suppliers Permit Renewal Application, including, but not limited to:

i. Instructions;

ii. Renewal Application;

iii. Affidavit;

iv. Non-Gaming Request to Release Information and Release of Claims by Company/Corporation/Individual;

v. Business Tax Information Authorization Request;

vi. Tax Clearance Request.

k. Level I, Suitability Gaming Application, Part A, including, but not limited to:

i. Application for License;

ii. Statement of Assets;

iii. Statement of Liabilities;

iv. Affidavit of Full Disclosure;

v. Applicant's Request to Release Information;

vi Individual Tax Information Authorization Request;

vii. Verification.

l. Level II, Casino Gaming Permit Application Personal History and Financial Record Part B, DPSSP 0077, including, but not limited to:

i. Personal Information;

ii Personal Financial Questionnaire;

iii. Statement of Assets;

iv. Statement of Liabilities;

v. Verification;

vi. Affidavit of Full Disclosure;

vii. Individual's Request to Release Information;

viii. Release of All Claims;

ix. Individual Tax Information Authorization Request;

x. Business, Trusts, Estates, etc., Consent to Disclose Tax Information;

xi. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance Certificate (State);

xii. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance Certificate (Federal).

m. Land Based Casino Gaming Division, Junket or Limousine Service Casino Gaming Permit Application, DPSSP 6611, including, but not limited to:

i. Schedule of Fees;

ii. Application for Permit;

iii. Statement of Assets;

iv. Statement of Liabilities;

v. Verification;

vi. Affidavit of Full Disclosure;

vii. Release of All Claims;

viii. Individual Tax Information Authorization Request;

ix. Applicant's Request to Release Information;

x. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance Certificate (State);

xi. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance Certificate (Federal);

xii. Business, Trusts, Estates, etc., Consent to Disclosure of Tax Information.

n. Gaming Device Shipment Notification, including, but not limited to:

i. Gaming Device Shipment Notification, DPSSP 6501;

ii. Gaming Device Shipment Notification (Supplemental), DPSSP 6502;

o. Finger Print Cards.

3. Video Poker

a. Video Gaming Application, DPSSP 0031;

b. Business, Trusts, Estates, etc., Consent to Disclosure of Tax Information;

c. Affidavit of Full Disclosure, DPSSP 0036;

d Request to Release Information, DPSSP 0037;

e. Release of All Claims, DPSSP 0035;

f. Individual Consent to Disclosure of Tax Information;

g. Personal History Questionnaire, DPSSP 0032;

h. Personal Financial Questionnaire, DPSSP 0033;

i. Designated Representative/Manager Application Form, DPSSP 5403;

j. Multiple Use Reporting Form, VGD 071498;

k. Application for Video Poker Device Permit, DPSSP 0059;

l. Gaming Device Ownership Transfer Notification, DPSSP 0052;

m. Video Gaming Devise Service/Repair Form, DPSSP 0040;

n. Pari-Mutuel Wagering Facility Monthly Report, DPSSP 0046;

o. Authorization Agreement for Pre-Authorized Payments, DPSSP 0038;

p. Video Gaming Device Shipment Notification, DPSSP 0043;

q. Minimum Monthly Fuel Sales Report, DPSVGD 1011;

r. Monthly Fuel Sales Meter Reading Report, DPSVGD 1012;

s. Renewal Application, DPSSP 0049;

t. Renewal Affidavit/Certification, DPSSP 0051;

u. Finger Print Cards;

v. Video Draw Poker Associated Business Entity Form, DPSSP 6504;

LOUISIANA GAMING CONTROL BOARD
DEPARTMENT OF PUBLIC SAFETY AND CORRECTIONS
OFFICE OF STATE POLICE
GAMING ENFORCEMENT DIVISION
(BOX A-28)
7919 INDEPENDENCE BOULEVARD
BATON ROUGE, LA 70806

VIDEO DRAW POKER ASSOCIATED BUSINESS ENTITY FORM

(MUST BE TYPED)

This form must be completed for each business entity associated with a Licensee or an Applicant for a Video Draw Poker Gaming License. This form should not be completed by a Licensee, Applicant for a Video Draw Poker Gaming License or a business operated as a sole proprietorship.

Legal Name of Business Entity		
d/b/a (if applicable)		
Federal Tax ID No.	State Tax ID No.	Business Telephone No. ( ) -
Physical Address (Street or Hwy.) _____ _____ _____ ____ ____ City State Zip		Official Mailing Address for Receiving Official Correspondence All Information Must be Kept Current (Street or P.O. Box) _____ _____ _____ ____ ____ City State Zip
Contact Person for Business Entity & Title/Position Held	Contact's Email Address	Contact's Telephone No. ( ) -

1. Business structure of the entity (i.e., Corporation, Partnership, Limited Liability Company, Joint Venture, Trust, etc.). If Corporation, indicate type (i.e. Publicly Held, Sub-Chapter S, etc.). **Attach** articles of incorporation, articles of organization, partnership agreement, joint venture agreement or trust agreement, as well as any bylaws or operating agreement and the most recent annual report.

2. Attach a list of all owners of the entity with ownership percentages totaling 100%.

3. Attach a list of all management personnel of the entity with his/her title/position.

4. STATE AND FEDERAL TAX FILING AND PAYMENT CERTIFICATION

1. By initialing I am hereby certifying that the entity is current in the filing and payment of all Louisiana taxes and returns owed to the Louisiana Department of Revenue (LDR) and complies with the tax requirements found in LAC 42:XI 2405 (B)(1)(a) and (b).	_____ **Initials**
2. By initialing I am hereby certifying that the entity is current in the filing and payment of all Federal taxes and returns owed to the Internal Revenue Service (IRS) and complies with the requirements found in LAC 42:XI 2405 (B)(1)(a) and (b).	_____ **Initials**

DPSSP 6504 (R 01/2014) **1 Entity Certification of Review** ____ ____ Initials Date

SIGNATURE OF INDIVIDUAL FURNISHING DISCLOSURE INFORMATION

I, _____, being duly sworn, depose and say that I am duly authorized to act on behalf of and bind the entity and, that on behalf of the entity, I have read the foregoing form, and hereby represent and warrant that the statements and responses provided herein are true and correct to the best of my knowledge, information, and belief, and represent a complete and accurate account of the requested information. In addition, I have read, understand and agree, on behalf of the entity to comply with the statutes which govern video draw poker gaming that are contained within La. R.S. 27:1 et seq., as well as the corresponding regulations contained within L.A.C. 42:III.101 et seq., and L.A.C. 42 XI.2401 et seq. Furthermore, I have executed this statement voluntarily with the knowledge that any failure to provide the correct information is cause for the denial of any original or renewal application or the revocation of any license, permit or other certification or approval issued or granted by the State of Louisiana, and that the making of any false statement is a violation of La. R.S. 27:440(A) and is punishable by up to ten (10) years in prison or a fine of up to ten thousand dollars ($10,000.00) or both.

_____ _____

Name of Business Entity (Print or Type) **Name of Authorized Individual (Print or Type) Position**

_____

Signature of Authorized Individual

SIGNATURE OF PREPARER:

I,_____, being duly sworn, depose and say that I am the person who prepared the form on behalf of the entity, that the statements and responses provided herein of which I have knowledge are true and correct to the best of my knowledge, information, and belief, and represent a complete and accurate account of the requested information, and that any statements or responses of which I do not have knowledge represent a complete and accurate account of the information provided by the entity. In addition, I have read and understand the statutes which govern video draw poker gaming that are contained within La. R.S. 27.1 et seq., as well as the corresponding regulations contained within L.A.C. 42:III.101 et seq., and L.A.C. 42: XI.2401 et seq. Furthermore, I have executed this statement voluntarily with the knowledge that any failure to provide the correct information is cause for the denial of any original or renewal application or the revocation of any license, permit or other certification or approval issued or granted by the state of Louisiana, and that the making of any false statement is a violation of La. R.S. 27:440(A) and is punishable by up to ten (10) years in prison or a fine of up to ten thousand dollars ($10,000.00) or both.

_____ _____

Name of Preparer (Print or Type) **Signature of Preparer**

NOTARY PUBLIC: Sworn to and subscribed before me, the undersigned Notary Public, in

_____, _____, _____,
(City) (County/Parish) (State)

on the _____ day of _____, 20_____.

_____

_____

Name of Notary Public and Notary or Bar Roll Number (Print/Type) **Signature of Notary Public**

My Commission Expires:

_____

DPSSP 6504 (R 01/2014) **2 Entity Certification of Review** ____ ____ Initials Date

4. Live Racing Facility Slot Machine Gaming

a. Level I, Part A, Suitability Gaming Application, DPSSP 6616, including, but not limited to:

i. Instructions;

ii. Application or Gaming License or Suitability Approval Application, Business Entity Form;

iii. Applicant Information;

iv. Ownership Interest;

v. General Information;

vi. Records/Books Information;

vii. Professional Services Information;

viii. Gaming Information (Miscellaneous);

ix. General Applicant Information;

x. Financial Disclosure Information;

xi. Affidavit of Full Disclosure;

xii. Applicant's Request to Release Information;

xiii. Verification;

xiv. Release of All Claims;

xv. Business Tax Information Authorization Request;

xvi. Federal Business, Trusts, Estates, etc., Consent to Disclosure of Tax Information;

xvii. Federal Internal Revenue Service Gaming Tax Clearance Certificate;

xviii. State Business, Trusts, Estates, etc., Consent to Disclosure of Tax Information;

xix. Louisiana Department of Revenue and Taxation Tax Clearance Certificate.

b. Level I, Part B, Personal History and Financial Record Suitability Gaming Application, DPSSP 6617, including, but not limited to:

i. Instructions;

ii. Personal History and Financial Record Suitability Gaming Application;

iii. Personal Information;

iv. Criminal History Information;

v. Civil Litigation Information;

vi. Military Service Data Information;

vii. Employment History Information;

viii. Professional Licenses, etc., Information;

ix. Business Associations Information;

x. Financial Information;

xi. General Information;

xii. Supplemental Page Information;

xiii. Verification;

xiv. Affidavit of Full Disclosure;

xv. Individual's Request to Release Information;

xvi. Release of All Claims;

xvii. Individual Tax Information Authorization Request;

xviii. Louisiana Department of Revenue and Taxation Tax Clearance Certificate;

xix. State Individual Consent to Disclosure of Tax Information;

xx. Federal Internal Revenue Service Tax Clearance Certificate;

xxi. Federal Individual Consent to Disclosure of Tax Information.

c. Level I, Parts A and B Renewal Riverboat Gaming Application, DPSSP 6618 and 6619, including, but not limited to:

i. Part A—Instructions;

ii. Additional Application Information Required;

iii. Part B—Instructions;

iv. Definitions.

d. Level I, Renewal Suitability Gaming Application, Part A, DPSSP 6618, including, but not limited to:

i. Applicant Information;

ii. General Information;

iii. Records/Books Information;

iv. Professional Services Information;

v. Gaming Interest Information;

vi. General Information;

vii. Financial Disclosure Information;

viii. Affidavit of Full Disclosure;

ix. Applicant's Request to Release Information;

x. Verification;

xi. Release of All Claims;

xii. Business Tax Information Authorization Request;

xiii. Federal Business, Trusts, Estates, etc., Consent to Disclosure of Tax Information;

xiv. Federal Internal Revenue Service Tax Clearance Certificate;

xv. State Department of Revenue and Taxation Consent to Disclosure of Tax Information;

xvi. Louisiana Department of Revenue and Taxation Tax Clearance Certificate.

e. Level I, Renewal Suitability Gaming Application, Part B, Personal History and Financial Record, DPSSP 6619, including, but not limited to:

i. Personal Information;

ii. Criminal History Information;

iii. Civil Litigation Information;

iv. Employment History;

v. Professional Licenses, etc., Information;

vi. Business Associations Information;

vii. Financial Information;

viii. General Information;

ix. Supplemental Page Information;

x. Verification;

xi. Affidavit of Full Disclosure;

xii. Individual's Request to Release Information;

xiii. Release of All Claims;

xiv. Individual Tax Information Authorization Request;

xv. Louisiana Department of Revenue and Taxation Tax Clearance Certificate;

xvi. State Individual Consent to Disclosure of Tax Information;

xvii. Federal Internal Revenue Service Tax Clearance Certificate;

xviii. Federal Individual Consent to Disclosure of Tax Information.

f. Key Riverboat Gaming Employee Permit Application, DPSSP 0074, including, but not limited to:

i. Application for Permit;

ii. Personal History and Financial Record;

iii. Personal Financial Questionnaire;

iv. Verification;

v. Affidavit of Full Disclosure;

vi. Release of All Claims;

vii. Individual Tax Information Authorization Request;

viii. Louisiana Department of Revenue and Taxation Tax Clearance Certificate;

ix. State Individual Consent to Disclosure of Tax Information;

x. Federal Internal Revenue Service Tax Clearance Certificate;

xi. Federal Individual Consent to Disclosure of Tax Information.

g. Key Riverboat Gaming Employee Renewal Application, DPSSP 0084, including but not limited to:

i. Instruction Sheet;

ii. Application for Permit;

iii. Affidavit of Full Disclosure;

iv. Release of All Claims.

h. Riverboat and Landbased Casino Non-Key Gaming Employee Permit Application, DPSSP 0075, including but not limited to:

i. Permit Application;

ii. Gaming Employee Applicant Conditional Approval Agreement (Non-Key Gaming);

iii. Request to Release Information and Release of Claims by Company/Corporation/Individual.

i. Non-Key Riverboat Gaming Employee Renewal Application, DPSSP 0065, including, but not limited to:

i. Instruction Sheet;

ii. Application for Permit;

iii. Employee Gaming Permit Renewal Affidavit;

iv. Release of All Claims.

j. Casino Gaming Non-Gaming Supplier Permit Application, DPSSP 0076, including, but not limited to:

i. Application for Permit;

ii. Verification;

iii. Non-Gaming Application Request to Release Information and Release of Claims Company/Corporation/ Individual;

iv. Business Tax Information Authorization Request;

v. Tax Clearance Request.

k. Casino Gaming Permit Application, Manufacturer and Suppliers, Part A, DPSSP 0073, including, but not limited to:

i. Instruction Page;

ii. Schedule of Fees;

iii. Application for Permit;

iv. Statement of Assets;

v. Statement of Liabilities;

vi. Verification;

vii. Affidavit of Full Disclosure;

viii. Release of All Claims;

ix. Individual Tax Information Authorization Request;

x. Applicants Request to Release Information.

l. Level II Casino Gaming Permit Application, Personal History and Financial Record, Part B, DPSSP 0077, including, but not limited to:

i. Personal Information;

ii. Personal Financial Questionnaire;

iii. Statement of Assets;

iv. Statement of Liabilities;

v. Verification;

vi. Affidavit of Full Disclosure;

vii. Individual's Request to Release Information;

viii. Release of All Claims;

ix. Individual Tax Information Authorization Request.

m. Gaming Permit/License Application for Manufacturers, Suppliers/Distributors and Service Entities, DPSSP 6613, including, but not limited to:

i. Instructions;

ii. Schedule of Fees;

iii. Application for Permit;

iv. Vendor Reciprocity Affidavit.

n. Non-Gaming Supplier Permit Application for Suppliers of Non-Gaming Goods/Services, DPSSP 6614, including, but not limited to:

i. Instructions;

ii. Application for Permit;

iii. Vendor Reciprocity Affidavit;

iv. Request to Release Information and Release of Claims by Company/Corporation/Individual;

v. Tax Clearance Request;

vi. Business, Trusts, Estates, etc., Consent to Disclosure of Tax Information;

vii. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance Certificate (State);

viii. Internal Revenue Service Land Based Casino and Riverboat Gaming Tax Clearance Certificate (Federal).

o. Non-Gaming Supplier Renewal Application, DPSSP 0090, including, but not limited to:

i. Application for Permit;

ii. Affidavit Form;

iii. Request to Release Information and Release of Claims by Company/Corporation/Individual;

iv. Business Tax Information Authorization Request;

v. Tax Clearance Request.

p. Manufacturer/Supplier Renewal Application, DPSSP 0064, including, but not limited to:

i. Application for Permit;

ii. Affidavit Form;

iii. Request to Release Information and Release of Claims by Company/Corporation/Individual;

iv. Business Tax Information Authorization Request;

v. Tax Clearance Request.

q. Finger Print Cards

5. Reciprocity

a. Gaming Permit License Application for Manufactures, Suppliers/Distributors and Service Entities, DPSSP 6613, including but not limited to:

i. Application for Permit;

ii. Vendor Reciprocity Affidavit.

b. Non-Gaming Supplier Permit Application for Suppliers of Non-Gaming Goods/Services, DPSSP 6614, including but not limited to:

i. Application for Permit;

ii. Vendor Reciprocity Affidavit;

iii. Request to Release Information and Release of Claims by Company/Corporation/Individual;

iv. Tax Clearance Request;

v. Business, Trusts, Estates, etc.;

vi. Consent to Disclosure of Tax Information;

vii. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance Certificate (State);

viii. Louisiana Department of Revenue and Taxation Land Based Casino and Riverboat Gaming Tax Clearance Certificate (Federal).

6. General forms:

a. request for permission to reapply, DPSSP 0060.

B. All applicants, licensees, permittees and persons required to be found suitable shall fully comply with all instructions contained in the prescribed forms and shall provide all documentation and information requested therein.

C. Any revisions, additions, or other modifications to the prescribed forms shall be made upon recommendation of the respective division and approval of the board.

AUTHORITY NOTE: Promulgated in accordance with R.S. 27:15 and 24.

HISTORICAL NOTE: Promulgated by the Department of Public Safety and Corrections, Gaming Control Board, LR. 26:340 (February 2000), amended LR 40:1379 (July 2014), LR 41:2176 (October 2015).

Chapter 2. Electronic Cards

§201. General Credit Provisions

A. No casino operator, casino manager or licensee, either directly or through any bank, financial institution, credit card company or similar entity, shall issue electronic cards or smart cards that have the capability of allowing patrons to access any line of credit or account, debit an account, or obtain credit through a credit agreement or otherwise allow any patron to incur debt in any manner not provided in the respective casino operator's, casino manager's or licensee's internal controls as approved by the division.

B. All electronic cards or smart cards issued by the casino operator, casino manager or any licensee for the purpose of wagering shall be prepaid with a fixed dollar amount that shall not be susceptible of being increased by patrons without purchasing additional value in a manner consistent with the respective casino operator's, casino manager's or licensee's internal controls as approved by the division.

C. Electronic cards or smart cards issued by the casino operator, casino manager or any licensee shall be used only for wagering at the respective casino operator's, or licensee's property.

AUTHORITY NOTE: Promulgated in accordance with R.S. 27:15 and 24.

HISTORICAL NOTE: Promulgated by the Department of Public Safety and Corrections, Gaming Control Board, LR 28:855 (April 2002).

Chapter 3. Compulsive and Problem Gambling

§301. Problem Gambling Programs

A. As used in this Section, *licensee* shall mean all persons licensed or otherwise authorized to conduct gaming operations pursuant to the provisions of Chapters 4, 5, and 7 of the Louisiana Gaming Control Law, R.S. 27:1 et seq., including the casino operator and casino manager, but not including persons licensed pursuant to Chapter 6 of the Louisiana Gaming Control Law.

B. The casino operator or casino manager and each licensee shall post or provide written materials concerning the nature and symptoms of problem gambling in conspicuous places within the gaming establishment in or near gaming areas and areas where cash or credit is made available to patrons, including cash dispensing machines.

C. The casino operator or casino manager and each licensee shall post one or more signs, as approved by the division, at points of entry to casino gaming establishments to inform customers of the toll free telephone number available to provide information and referral services regarding compulsive or problem gambling. The toll free number shall be provided by the division.

D. Failure by the casino operator or casino manager or a licensee to comply with the provisions of Subsections B or C above shall constitute violations of this Section. The penalty for violation of Subsection B or C shall be $1,000 per day or administrative action including but not limited to suspension or revocation.

E.1. The casino operator or casino manager and all licensees shall develop a comprehensive program for its property or properties, that address, at a minimum, the areas of concern described in R.S. 27:27.1.C which are designed to:

a. provide procedures designed to prevent employees from willfully permitting a person identified on a Self-Exclusion List from engaging in gaming activities at the licensed establishment or facility;

b. provide procedures to offer employee assistance programs or equivalent coverage. The procedures shall be designed to provide confidential assessment and treatment referral for gaming employees and, if covered, their dependents who may have a gambling problem;

c. provide procedures for the development of programs to address issues of underage gambling and unattended minors at gaming facilities;

d. provide procedures for the training of all employees that interact with gaming patrons in gaming areas to report suspected problem gamblers to supervisors who shall be trained as provided in this Paragraph. The training shall, at a minimum, consist of information concerning the nature and symptoms of compulsive and problem gambling behavior and assisting patrons in obtaining information about compulsive and problem gambling and available options for seeking assistance with such behavior;

e. provide procedures designed to prevent serving alcohol to intoxicated gaming patrons consistent with the provisions of R.S. 26:931 et seq.;

f. provide procedures for removing self-excluded persons from the licensed establishment or facility, including, if necessary, procedures that include obtaining the assistance of the division or local law enforcement;

g. provide procedures preventing any person identified on the self-exclusion list from receiving any advertisement, promotion, or other targeted mailing after ninety days of receiving notice from the board that the person has been placed on the self-exclusion list;

h. provide procedures for the distribution or posting within the gaming establishment of information that promotes public awareness about problem gambling and provides information on available services and resources to those who have a gambling problem;

i. provide procedures for the distribution of responsible gaming materials to employees;

j. provide procedures for the posting of local curfews or laws and prohibitions, if any, regarding underage gambling and unattended minors;

k. provide procedures to prevent any person placed on the Self-Exclusion List from having access to credit or from receiving complimentary services, check cashing services, and other club benefits;

l. provide procedures designed to prevent persons from gaming after having been determined to be intoxicated for the purposes of R.S. 27:27.1.C.(5).

2. The casino operator or casino manager and each licensee shall designate personnel responsible for implementing and monitoring the program.

3. In addition to the areas of concern described in R.S. 27:27.1.C, the comprehensive program shall also include a program that allows patrons to self-limit their access to functions and amenities of the gaming establishment, including but not limited to, the issuance of credit, check cashing or direct mail marketing.

F. The casino operator or casino manager and each licensee shall submit the comprehensive program to the board for approval within 120 days from the date this rule becomes effective as required by R.S. 27:27.1.C.

NOTICE OF INTENT

Department of Public Safety and Corrections
Louisiana Gaming Control Board

The Department of Public Safety and Corrections, Louisiana Gaming Control Board, in accordance with R.S. 27:15 and 24 and with the Administrative Procedure Act, R.S. 49:950 *et seq.*, hereby gives notice that it intends to amend LAC 42:III.120, *Application and Reporting Forms.*

Title 42
LOUISIANA GAMING
Part III. Gaming Control Board

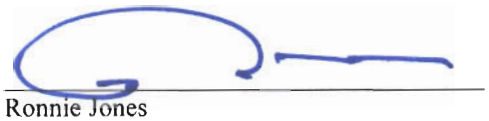
Chapter 1. General Provisions
§120. Application and Reporting Forms

A. – A.3.i. …
  j. Multiple Use Reporting Form, DPSSP 6600
A.3.k. – A.3.u. …
  v. Video Draw Poker Associated Business Entity Form, DPSSP 6504
  w. Application Withdrawal/License Surrender Form, LGCBVP 0100
  x. Surrender Waiver Request Form, LGCBVP 0101
A.4. – A.6.a.
  b. Labor Organization Registration Statement, LGCBGEN 0100
B. – C. …

AUTHORITY NOTE: Promulgated in accordance with R.S. 27:15 and 24.
HISTORICAL NOTE: Promulgated by the Department of Public Safety and Corrections, Gaming Control Board, LR 26:340 (February 2000), amended LR 40:1379 (July 2014), LR 41:2176 (October 2015), LR

Public Comments

Interested persons may submit written comments to Matthew H. Long, Assistant Attorney General, Louisiana Department of Justice, Gaming Division, 1885 North Third Street, Fifth Floor, Baton Rouge, LA 70802. All comments must be submitted by 4:30 p.m. on February 10, 2015.



Ronnie Jones
Chairman